

08/14/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the specification and claims of the nonprovisional patent application of:

Masaki KATOH, Katsuyuki YAMADA and Yuki NAKAMURA

Inventors

PHASE-CHANGE TYPE OPTICAL INFORMATION RECORDING MEDIUM

Title of Invention

## APPLICATION ELEMENTS ENCLOSED:

1. X Specification (total pages 27) including:
  - a. 3 pages of claims (8 claims)
  - b. 1 page Abstract
2. X 2 sheets of     informal X formal drawings (Figs. 1-5)
3.     Oath or declaration of Applicant(s) (    total pages)
  - a.     Newly executed (original or copy)
  - b.     Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Item 14 completed)
4.     Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5.     Incorporation By Reference  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied in item 3b is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.     Microfiche Computer Program (Appendix)

## APPLICATION PARTS ENCLOSED:

7.     An assignment of the invention to Ricoh Company, Ltd. (cover sheet & document(s))
8.     37 CFR 3.73(b) Statement (when there is an assignee)
9.     Power of Attorney
  - a.     Newly executed (original or copy)
  - b.     Copy from a prior application

10. \_\_\_ Information Disclosure Statement (IDS)/PTO-1449  
\_\_\_ Copies of IDS Citations
11. \_\_\_ A Preliminary Amendment
12. X Return Receipt Postcard (MPEP 503)
13. \_\_\_ A small entity statement under 37 C.F.R. §1.9 and §1.27  
\_\_\_ Statement filed in prior application, status still proper and desired
14. \_\_\_ If a Continuing Application, *check the appropriate box and supply the requisite information:*
- ☐ Continuation of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_
- ☐ Divisional of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_
- ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ filed \_\_\_\_\_
15. \_\_\_ Amend the specification by inserting before the first line of page one: --This is a \_\_\_ continuation  
\_\_\_ divisional of application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.--
16. X Priority of application No. 2000-245602 filed in Japan on August 14, 2000. Applicant hereby  
claims priority under 35 U.S.C. § 119.  
X A certified copy of priority application No. 2000-245602 is enclosed  
\_\_\_ A certified copy of priority application No. \_\_\_\_\_ has been filed in prior application  
S.N. \_\_\_\_\_ filed \_\_\_\_\_
17. \_\_\_ Other (identify) \_\_\_\_\_

The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

					Rate			Fee	
	Number Filed		Number Extra*		Small Entity	Other Entity		Small Entity	Other Entity
Total Claims	8-20	=	0	x	\$ 9	\$ 18	=	\$	\$ 0
Indep. Claims	2-3	=	0	x	\$ 40	\$ 80	=	\$	\$ 0
Multiple Dependent Claims Presented: Yes ___ No <u>X</u>					\$135	\$270	=	\$	\$ 0
If the difference in column 1 is less than zero, enter "0" in column 2					Basic Fee			\$ 355	\$ 710
					Total Fee				\$710

18. X A check in the amount of \$ 710.00 to cover the filing fee.
19.      Please charge Deposit Account No. 03-3125 in the amount of \$ 40.00 for recordation of the Assignment.
20. X The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any overpayment to Deposit Account No. 03-3125.
- X Filing fees under 37 C.F.R. § 1.16.
- X Patent application processing fees under 37 C.F.R. §1.17.
- The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
21. X Three copies of this sheet are enclosed.
22. X Other (identify) Cover Page

## CORRESPONDENCE ADDRESS:

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Respectfully submitted,

Amherst

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Masaki KATOH et al.  
Serial No. : Not Yet Assigned Group Art Unit:  
Date Filed : Concurrently Herewith Examiner:  
For : PHASE-CHANGE TYPE OPTICAL INFORMATION RECORDING  
MEDIUM

1185 Avenue of the Americas  
New York, N.Y. 10036

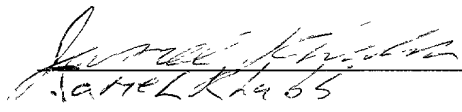
Assistant Commissioner for Patents  
**Box Patent Application**  
Washington, D.C. 20231

**EXPRESS MAIL**  
**LETTER OF TRANSMITTAL**

Express Mail mailing label number: EL531588695US

Date of Deposit: August 13, 2001

I hereby certify that the above-identified application consisting of a 27-page specification, cover page, 8 claims, 2 sheets of formal drawings, 3 copies of transmittal form, certified copy of Priority Application No. 2000-245602 and check for \$710 filing fee, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
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